

What's Your Plan to Procure? Securing Approval for Technology Procurements

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Andrew M. Cuomo
Governor
State of New York

RoAnn M. Destito
Commissioner
Office of General Services

Current PTP Rules & Process

PTP's are presently required when:

- Over \$50,000 NPS being spent on IT (consultants, hardware, software, contracts, maintenance agreements)
- Purchases using OGS Aggregate Buy contracts regardless of dollar amount (PCs, Laptops, and printers)
- Policy applies to both cluster and non-cluster agencies (example: SED)

Proposing in short term PTP's are not required for:

- Purchases using OGS Aggregate Buy contracts
- Annual Maintenance payments for approved software
- HBITs staff augmentation requests

PTP's are reviewed (within 5 days) by:

- ITS Subject Matter Experts based on technology request type
- CXO's as applicable – CTO for architecture, software applications, ISO for security related requests, etc
- SCIO when reviewers are in conflict for approval



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Present PTP Issues

- ❑ Continued agency silos resulting in:
 - Decentralized IT investment decision-making
 - Lack of architecture standards or overarching vision
 - Competing priorities
- ❑ No statewide view of IT investments
- ❑ Duplicative investments and applications
- ❑ Inefficient use of resources (people, hardware, software, financial)



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Path to Envisioned State

Need	Envisioned Outcome
ITS Executive mgmt & DOB need for visibility into cluster & CXO projects to ensure consistency with standards and strategy	Implementation of ITIR governance process across clusters and ITS Central Office (this process includes standards board review)
ITS Executive mgmt, OGS, & DOB need for visibility into cluster & CXO IT operational purchases to ensure consistency with standards & strategy as well as find synergies for costs savings & volume purchases	Creation of a annual IT operational spending plan for clusters & ITS Central Office
ITS Executive mgmt & DOB need for visibility into non- cluster projects to ensure consistency with standards and strategy	Require non-cluster agencies to submit ITIR to ITS and use Central Office Governance to review these requests
ITS Executive mgmt, OGS, & DOB need for visibility into non-cluster IT operational purchases to ensure consistency with standards and strategy as well as find synergies for costs savings	Require creation & submission of a annual IT operational plan from non-cluster agencies



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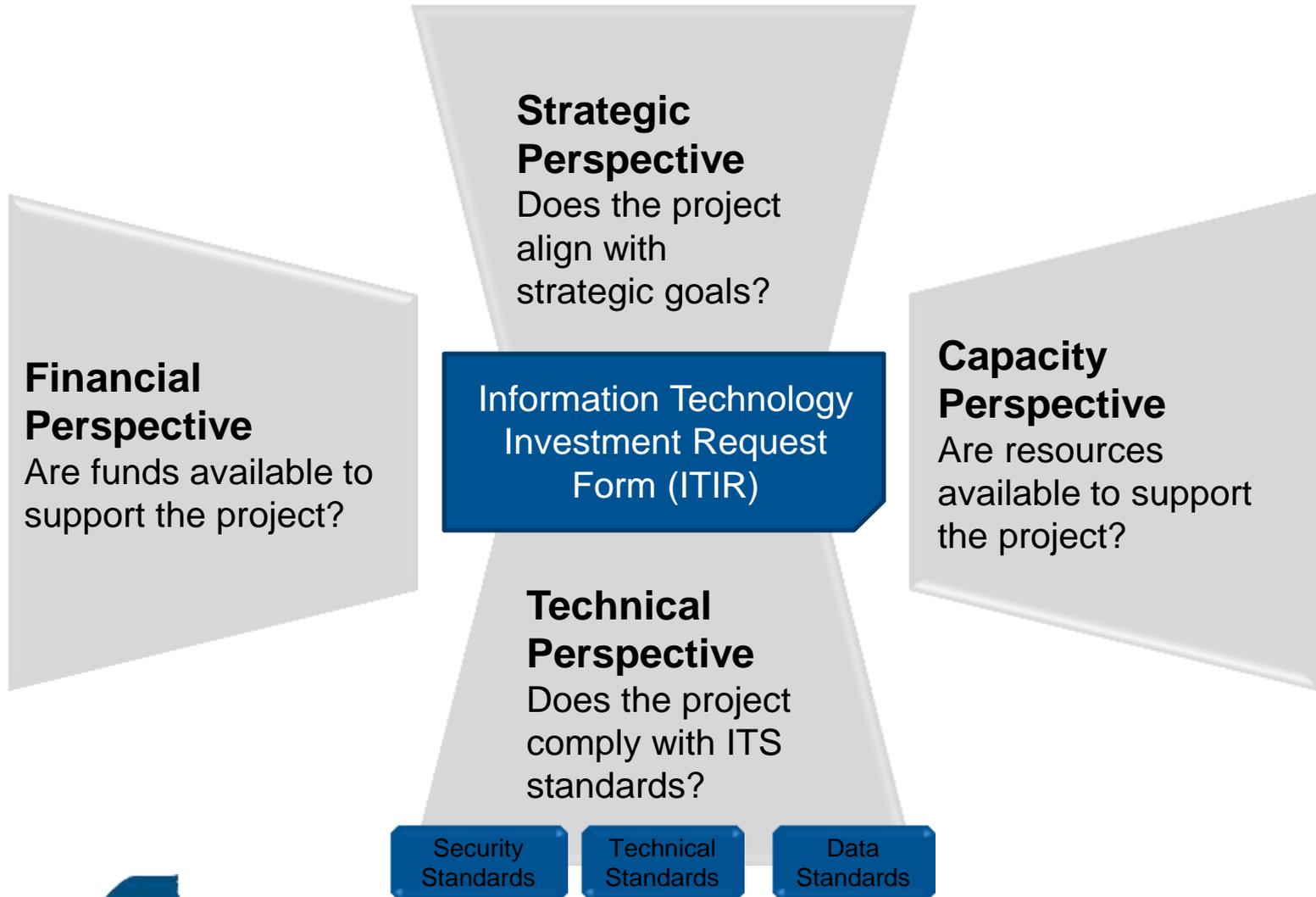
Governance Guiding Principles

- Agency leadership will play an active role in IT governance by
 - Prioritizing and approving investments at the Cluster level,
 - Shaping IT priorities, and
 - Gaining visibility into project performance
- State IT strategic plan will be reassessed on an annual basis for complete alignment with State and agency priorities
- Agency leadership will have full transparency into IT spend



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Project Selection



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Governance Bodies

	Responsibilities	Members	Frequency
IT Strategy and Planning Board (ITSB)	<ul style="list-style-type: none"> Approve NYS IT strategy and investments Ensure alignment of IT Strategy with Governor’s priorities and agency business needs Oversee activities of Standards Review Boards 	<ul style="list-style-type: none"> CIO (chair) Budget Director Secretary to the Governor Director of State Operations 	Bi-annually
Cluster Executive Board	<ul style="list-style-type: none"> Act as final decision maker on Cluster investments Provides business perspective for the Cluster through participation of Agency Commissioners Sets Cluster strategic goals 	<ul style="list-style-type: none"> Deputy Secretary (chair) Agency Commissioners Cluster CIO Cluster PMO DOB 	Quarterly or as needed
Cluster IT Review Board	<ul style="list-style-type: none"> Manage project gating process Identify cross-agency, cross-cluster opportunities for synergies Review proposed solution against enterprise standards and review exceptions with standards boards 	<ul style="list-style-type: none"> Cluster CIO (chair) Cluster PMO Cluster executive mgmt team Standards board representative SME or agency liaison as needed 	Bi-weekly or as needed
Agency IT Review Board	<ul style="list-style-type: none"> Review, validate, and prioritize project requests 	<ul style="list-style-type: none"> Agency business leaders Cluster PMO (optional) 	Agency discretion



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Governance & Portfolio Management Provides Resolution

- ❑ Coordinated transparent IT investment decisions resulting in:
 - Efficiencies and Cost savings through removal of duplicative efforts
 - Architecture standards that drive consistency, reuse, and innovation
 - Facilitated establishment of clear priorities

- ❑ Transparent view of IT portfolio

- ❑ Optimized use of IT resources



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